

State Rhode Island

74-13

OFFICIAL

STANDARDS FOR INSTITUTIONS

The Rules and Regulations for licensing of hospitals and group care homes set forth in the publication of the Department of Health, as published, August, 1973, is on file in Medical Standards and Review of the Rhode Island Department of SRS.

St. R.I. Tr. 1/15/73 Incorp 12/6/74 Effective 12/31/73

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State Rhode IslandUtilization Control of Care and Services

The Surveillance and Utilization Control Program conducts the following activities in accordance with federal requirements and regulations, including, but not limited to, 42 CFR 456.22, 456.23, and 456.3:

- Quarterly retrospective paid claim reviews of beneficiary and provider claims data
- Provider or service specific audits of claims data when recommended by the Program Integrity Unit
- Monthly generation and mailing of Recipient Explanations of Member Benefits (REOMB) statements
- Monitors national trends and conducts research to evaluate the impact, or potential impact, on the Medicaid program
- Initiates and thoroughly investigates tips and targeted queries; reviews a minimum of 15 months of claims for each standard recipient or provider case under investigation.
- Recoups and adjusts claims payments either by an individual evaluation or sampling methodology that is conducted following an analysis of paid claims data
- Analyses and prepares reports detailing any of the above issues
- Recommends corrective actions and the recoupment or adjustment of claims as applicable

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
DEPARTMENT OF SOCIAL AND REHABILITATIVE SERVICES

4.16-A

OFFICE OF THE DIRECTOR

600 New London Avenue
Cranston, Rhode Island 02920

OFFICIAL 80-21

MEMORANDUM OF UNDERSTANDING
BETWEEN
MEDICAL ASSISTANCE PROGRAM
AND
VOCATIONAL REHABILITATION

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In order to continue the present cooperative working relationship between the Rhode Island Medical Assistance Program and Vocational Rehabilitation and to provide high quality medical services for clients eligible for Medical Assistance (Title XIX) and Vocational Rehabilitation, the following is presented to delineate the responsibilities of the agencies concerned.

It is understood that Vocational Rehabilitation should do everything possible to rehabilitate individuals as Vocational Rehabilitation has the responsibility for the administration of all services needed to preserve or develop to the maximum the self-sufficiency of the individual.

In order to fully utilize the services of both agencies, Vocational Rehabilitation will assume responsibility for the following services:

- (1) A complete general medical examination providing an appraisal of the current medical status of the individual.
- (2) Examination by specialists in all fields as needed, including psychiatric and/or psychological examinations in all cases of suspected mental or emotional illness.
- (3) Such laboratory tests, x-ray services and other indicated studies as are necessary to establish the diagnosis(es) to determine the extent to which disability limits the individual's daily living and work activities and to estimate the potential results of physical restoration services.
- (4) The initial purchase of durable medical equipment and surgical and prosthetic appliances required as part of a Vocational Rehabilitation plan.
- (5) In-patient and out-patient services provided by rehabilitation facilities not covered within the scope of services of the Rhode Island Medical Assistance Program.
- (6) Private psychiatric services within the normal limitations of the Vocational Rehabilitation program.

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A. M. J. 11/1/80
Approved 12/1/80

OFFICIAL

4.16-A
80-21

- (7) All other medical services and supplies which are required as part of an overall Vocational Rehabilitation plan that are not covered by the Rhode Island Medical Assistance Program.

The Medical Assistance Program will assume responsibility for payment of the following services:

- (1) Hospital services in licensed general hospitals certified for participation in the Title XVIII and Title XIX Programs when provided in accordance with applicable federal and state rules and regulations.
- (2) Durable medical equipment and surgical and prosthetic appliances utilized on an on-going basis.
- (3) Hemodialysis treatments provided in hospital and hemodialysis facility settings.
- (4) All other medical services and supplies which are medically justifiable and are included within the scope of services of the Rhode Island Medical Assistance Program but not covered by Vocational Rehabilitation.

All medical services and supplies paid for by the Medical Assistance Program will be provided in accordance with established methods of reimbursement, fee schedules and other applicable rules and regulations. Since certain hospital out-patient department services and services provided in out-of-state hospitals require prior authorization, consultation between the two agencies will be required before authorization is granted for such services. All rehabilitative services will be provided within the scope of services of Vocational Rehabilitation. Referrals between the two agencies will be administered as agreed upon by both agencies.

Vocational Rehabilitation will take the initiative to evaluate and determine those services needed to return an individual to a remunerative occupation. Those medical services not directly connected with the clients major disabling condition and the Vocational Rehabilitation process will be excluded. The Medical Assistance Program will be responsible for the on-going medical needs not directly related to the Vocational Rehabilitation process.

Vocational Rehabilitation will provide on-going counseling and guidance and other needed non-medical services required to achieve the client's vocational objective.

Vocational Rehabilitation and Medical Assistance will exchange information on case situations as well as statistical data concerning relevant material required for both programs.

This memorandum of understanding is presented as a guide to provide the highest quality medical services for mutually served

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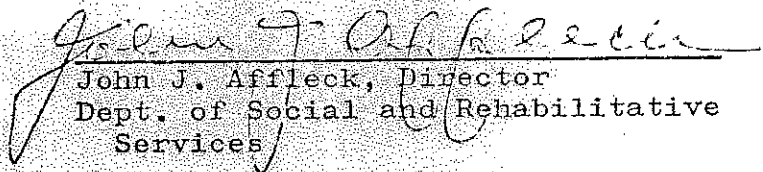
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clients in an effort to preserve the self-dependency and integrity of the individual.

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This cooperative working arrangement between these agencies within the Department of Social and Rehabilitative Services which will be implemented effective May 1, 1979, shall remain in effect until a change is requested in writing by either agency sixty (60) days prior to the annual anniversary date.

Approved by:


John J. Affleck, Director
Dept. of Social and Rehabilitative
Services

 
Effective 10/1/80 

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4.16-A

80-21

AGREEMENT
BETWEEN CRIPPLED CHILDREN'S SERVICES IN THE
R. I. STATE DEPARTMENT OF HEALTH
AND THE
R. I. STATE DEPARTMENT OF SOCIAL WELFARE

PURPOSE

The Rhode Island State Department of Social Welfare and the Crippled Children's Division within the State Health Department recognize and accept their mutual responsibilities of providing high quality medical services to all physically handicapped children in the community. They agree to effect a close inter-agency working relationship in order to more effectively achieve this goal.

It is mutually understood by both agencies that the Rhode Island Department of Social Welfare through the provisions of Title XIX will supplement those services presently being provided by the Crippled Children's Division. (See attached report by Crippled Children)

It is mutually agreed that the Department of Social Welfare and the Rhode Island State Department of Health should do all that is humanly possible to prevent chronic dependency upon the Department of Social Welfare when other community resources can be utilized to provide adequate medical services for these children. Basically, the goal is to have all physically handicapped children retain their independence to the greatest extent possible.

The Rhode Island State Department of Social Welfare will act as a supplementary agency and provide those medical services and supplies and financial assistance for which the Crippled Children's Division is unable to assume responsibility because of limitations in their resources and scope of medical services. These medical services and supplementary financial payments

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4.16-A

will be provided by the State Department of Social Welfare within the scope of its Title XIX Program. This type of arrangement should better serve the needs of the patient and the ultimate goals of both agencies.

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CONDITIONS FOR ACCEPTANCE OF CASES BY CRIPPLED CHILDREN'S SERVICES.

In order to qualify for Crippled Children's services, the applicant must be a Rhode Island resident under 21 years of age and handicapped by reason of a physical defect(s), disability, disease, or a condition which is likely to result in a physical handicap. Any individual falling within this broad definition is eligible for those services generally available through the Division of Child Health, Crippled Children's Section within the State Department of Health.

Diagnostic services are available without charge to any child who resides in Rhode Island; without restriction or requirements as to the economic status or legal residence of the child's family or relatives, and without any requirement of the referral of such child by an individual agency.

Financial eligibility for therapeutic services is determined by the medical social worker from the Crippled Children's Section. Those children whose parents have adequate financial resources are referred to private sources for their treatment. There are no restrictions imposed by reason of race.

RECIPROCAL REFERRAL SERVICES.

The Department of Social Welfare will refer to the Crippled Children's Program such persons who may appropriately be served by that agency. The Crippled Children's agency will accept such referrals and will make prompt arrangements to provide a comprehensive review and evaluation of the needs of such persons and will, thereafter, provide whatever services the agency

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4.16-A

is able to provide in accordance with its established scope of service and its usual agency criteria. The Crippled Children's agency will refer to the Department of Social Welfare those persons who are felt to be in need of medical care or services whose needs can be more appropriately met under the provisions of the Medical Assistance Program. The Department of Social Welfare through its Division of Public Assistance will make prompt arrangements to interview and/or evaluate such persons and will provide whatever direction and assistance available to eligible recipients within the established scope of services within the Title XIX Program. 80-21

In those cases eligible for services of both agencies simultaneously, appropriate courses of action will be effected through joint planning by the two agencies for the attainment of their mutual goals intended to provide for the optimal medical care of the patient.

The Crippled Children's Division will assume responsibility for all professional services usually provided eligible recipients except in the areas of mental health and dental care. Because the Crippled Children's Program does not have provision for services in these areas of medical care, the Department of Social Welfare will assume responsibility for the payment of these medical services when the individual needs or other requirements set forth by the Department of Social Welfare are met as they relate to eligibility for such services.

It should be noted, however, that the Crippled Children's Agency will assume responsibility for payment for dental services when such services are related to a more basic physical defect; e.g., cleft palate, etc.

With regard to hospitalization, the Rhode Island State Department of

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OFFICIAL

4.16A

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Social Welfare will assume responsibility for the payment of hospital services for those children who qualify. All cases will be subject to the rules and regulations of the Rhode Island State Department of Social Welfare. It is in this category of hospital services that the Rhode Island State Department of Social Welfare can be expected to provide one of the more important elements of its supplementary assistance for the effective medical care of the crippled child.

Responsibility for in-patient and out-patient physicians' services will be assumed by the Director of Child Health Services. Planning in this area will, therefore, require close cooperation among the Crippled Children's social worker, the Office of Medical Service in the Rhode Island State Department of Social Welfare and the Social Service Department of the hospital providing the services.

EXCHANGE OF REPORTS

In all cases involving mutual responsibility there will be an exchange of information and reports of progress. This cooperative interchange will serve to avoid duplication of services and the possibility of duplication of payment for the same by both agencies involved.

When one agency makes a referral to the other agency, the referring agency will forward all pertinent facts relating to the individual being referred to the accepting agency. The agency accepting the referral will, in turn, provide a report of all services which have been provided to the person referred for medical care or services.

PRIMARY AREAS TO BE SERVED BY THE CRIPPLED CHILDREN'S PROGRAM

The Crippled Children's Program has recently been expanded and extensively revised in response to the need for high quality medical services to

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OFFICIAL

4.16-A

the handicapped and in keeping with the latest developments in the various areas of medical research. The Crippled Children's Program makes provision for services in the following areas:

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1. An Orthopedic Program.
2. A Cardiac Program including the care of congenital cardiacs as well as rheumatic fever patients.
3. A Metabolic Disease Program which includes treatment of patients with Cystic Fibrosis and Nephrosis.
4. A Cleft Lip or Cleft Palate Program as part of a newly instituted program of reconstructive and plastic surgery.
5. A speech and hearing program including the purchase of hearing aids.

As a result of the type of working agreement outlined in the preceding paragraphs - whereby the Rhode Island Department of Social Welfare has agreed to provide payment for supplementary medical services required by those eligible Medical Assistance recipients, the Crippled Children's Program will be in a more advantageous position to utilize more effectively its limited Federal and State appropriations in serving a larger number of children suffering from crippling or potentially crippling physical defects.

This agreement is to become effective January 1, 1968. It will be evaluated jointly by the two State agencies on a periodic basis - not less frequently than annually.

Date

1/1/68

Signed

Augustine W. Riccio

Augustine W. Riccio
R. I. Department of Social Welfare

Date

January 2, 1968

Signed

Joseph E. Cannon, M.D.

Joseph E. Cannon, M.D.
R. I. Department of Health

T. R. I.

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RD APPROVED

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AGREEMENT
BETWEEN MATERNAL AND CHILD HEALTH SERVICES IN THE
RHODE ISLAND STATE DEPARTMENT OF HEALTH
AND THE
RHODE ISLAND STATE DEPARTMENT OF SOCIAL WELFARE

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PURPOSE:

The Rhode Island State Department of Social Welfare and the Division of Child Health within the Rhode Island State Health Department have the mutual objective of providing optimal maternal and infant care for the eligible recipients. In striving to attain these goals of both agencies, the Rhode Island State Department of Social Welfare will supplement the maternal and child health services presently being provided by the Division of Child Health within the scope and provisions of the Title XIX Program.

It is mutually agreed that the Rhode Island Department of Health and the Rhode Island Department of Social Welfare should do all that is possible to prevent chronic dependency upon the Department of Social Welfare when other community resources can be utilized to provide adequate medical services.

The Rhode Island Department of Social Welfare will act as a supplementary agency and provide whatever services and financial assistance the Division of Child Health is unable to assume because of limitations in resources and scope of medical service. These medical services and supplementary financial payments will be provided by the State Department of Social Welfare within the scope of its Title XIX Program. This type of arrangement should better serve the needs of the patient and the ultimate goals of both agencies.

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CONDITIONS FOR ACCEPTANCE OF CASES BY THE DIVISION OF CHILD HEALTH:

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The conditions for acceptance in some of the major programs sponsored by the Division of Child Health are as follows:

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1. Maternity and Infant Care Project at St. Joseph's Hospital.

Through this project, prenatal, intrapartum, postpartum and newborn pediatric care are provided to unwed mothers throughout the State and to mothers of high risk residing in South Providence, Rhode Island.

2. Immunization Program.

All children in the first and fifth grades receive immunizations against diphtheria, tetanus, and measles.

3. Phenylketonuria (PKU) Control Program.

Screening tests are performed for all newborn infants throughout the State.

4. Mental Retardation Evaluations.

Diagnostic services are provided for those suspected of mental retardation and for all those persons up to age 21 who present a problem in delayed development or some type of inherited disease.

5. Instruction and Guidance of Expectant Mothers Program.

This program takes the form of lectures given by specially trained public health nurses in several rural communities, as well as the metropolitan area.

6. Dental Care for Preschool and Mentally Retarded Children Program.

Provision is made for dental care of preschool and mentally retarded children at the Joseph Samuels Dental Clinic.

Any individual falling within the above categories is eligible for maternal and child health services of the Division of Child Health.

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There are no restrictions pertaining to race of the potentially eligible recipient of services.

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RECIPROCAL REFERRAL SERVICES:

The Rhode Island Department of Social Welfare will refer to the Division of Child Health such persons who may appropriately be served by that agency. The Division of Child Health will accept such referrals and will make prompt arrangements to provide a comprehensive review and evaluation of the needs of such persons and will thereafter provide whatever services the agency is able to provide in accordance with its established scope of services and its usual agency criteria. The Division of Child Health will refer to the Rhode Island Department of Social Welfare those persons who are in need of medical care or services whose needs can be more appropriately met under the provisions of the Medical Assistance Program. The Department of Social Welfare through its Division of Public Assistance will make prompt arrangements to interview and/or evaluate such persons and will provide whatever direction, assistance, and services that are usually available to eligible recipients within the established scope of services of the Title XIX Program.

HOSPITAL SERVICE:

In regard to the Maternity and Infant Care Project at St. Joseph's Hospital, the Division of Public Assistance is meeting the hospital care costs for mothers and their newborn who are eligible for medical benefits under Title XIX and are included within the scope of the Maternity and Infant Care Project. (1)

DENTAL SERVICE:

All patients who are eligible for Title XIX dental care will be given a free choice of dentist when they are referred for dental treatment by the MIC Project.

- (1) This arrangement will continue on a temporary basis - until such time as the financial posture of the MIC Project improves.

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PROGRAM: CONTENT OF THE DIVISION OF MATERNAL AND CHILD HEALTH:

This agreement is to become effective January 1, 1968. It will be evaluated jointly by the two State agencies on a periodic basis and not less frequently than annually.

Augustine W. Riccio
Director of Social Welfare

Joseph Cannon, M.D.
Director of Health

5. RD 11/17/80 ADDITION 12/15/80

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RHODE ISLAND DEPARTMENT OF HEALTH
DIVISION OF CHILD HEALTH

ATTACHMENT I

OBJECTIVE:

To promote personalized, quality family planning services to the economically disadvantaged of low income and low levels of general health care.

SUBSTANTIATION:

The Rhode Island Department of Health, (Division of Child Health) has been mandated to deliver medical services for family planning as a segment of comprehensive medical care to the women and children of our state. At present, the Division of Child Health supports and funds eight clinics throughout the state and services in excess of 17,000 patients.

DEFINITION OF SERVICE:

The medical services provided at our family planning clinics specifically include:

1. A manual examination of the breasts with referral to radiographic examination, if indicated.
2. Visualization of the lower genital tract, with Papanicolaou smear.
3. Referral for biopsy of cervix, if indicated.

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80-21

4. Bi-manual pelvic examination.
5. Recording of weight and blood pressure.
6. Urinalyses
7. Referral for indicated laboratory tests, such as urine, hemoglobin or hemocrit, and other special examinations, if indicated by physical examination on directive of the physician.

8. Venereal Disease Testing:

Bloods are drawn for syphilis testing and a vaginal smear with culture medium is used for all patients 35 years or younger. If disease is diagnosed, treatment or referral for treatment is made.

MODES OF CONTRACEPTIVE SERVICES:

Oral Contraceptives

Intra-Uterine Devices

Diaphragm and Contraceptive Jelly

Condoms

Spermicidal Foams

Rhythm

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METHODS OF PROVIDING SERVICES:

PROCEDURES:

An appointment is generally required. Clinic hours are scheduled during days and evenings (including Saturdays) as dictated by the needs of the community served.

Patients are interviewed by staff members of the clinics with social service training and a social and medical history is recorded. Patients are orientated to medical services offered through the family planning clinics.

Clinical personnel procure and record height, weight, blood pressure, urinalyses, a nurse draws blood for VD testing. The patient is then examined by a physician which includes a breast and pelvic examination, Papanicolaou smear, GC culture, and prescription of desired method. Additional oral and written instructions may be given by the nurse if necessary.

After this initial visit, patients who elect oral contraceptives are given an appointment to return in 6-months for a pelvic and breast exam and a Pap smear and thereafter are scheduled for annual visits.

Patients who elect intra-uterine devices are given an appointment for a one month check-up. Thereafter they are scheduled for annual visits.

Patients who elect other methods are given appointments for annual examinations.

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All patients are instructed to telephone their clinic in the event they have any questions or problems and are assured that they will be seen as often as necessary if they have any problems which require medical attention.

Minor medical problems are treated at the clinic, referrals are made for conditions requiring other treatment.

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STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

DEPARTMENT OF HEALTH

DIRECTOR OF HEALTH
JOSEPH E. CANNON, M.D., M.P.H.

Division of Dental Public Health

December 8, 1967

Dr. P. Joseph Pesare
Medical Director
Rhode Island Department of Social Welfare
1 Washington Avenue
Providence, Rhode Island

Dear Dr. Pesare:

This letter is in confirmation of the discussion which Dr. Brown and I had with you and your representatives at your office on Monday, November 27, 1967, regarding dental work on Title XIX - eligible patients who are participating in the Maternity and Infant Care Program (MIC Program) at St. Joseph's Hospital.

- (1) All patients who are eligible for Title XIX dental care will be given a free choice of dentist when they are referred for dental treatment by the MIC Program.
- (2) In keeping with the established policy of the Department of Social Welfare, requests for authorization for dental work will be submitted to the Office of Medical Service by the private dental practitioner.
- (3) The private dental practitioner will be asked to note on the face page of the application for authorization the words Maternity and Infant Care Program Patient (or MICP Patient) - St. Joseph's Hospital.
- (4) In view of the fact that patients on the MIC Program are eligible for treatment only during their pregnancy and for a six months period postpartum, special attention will be given to work authorization requests for these patients by the Office of Medical Service. Work authorizations will be acted upon and the decision reached from such action transmitted to the practicing dentists by the Office of Medical Service within a two week period after receipt of the application for authorization.

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Dr. P. Joseph Pesare

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December 8, 1967

- (5) Each case will be screened by Dr. Edward Brown, Dental Consultant to the Maternity and Infant Care Program, or his representative, and recommendations transmitted to your office.
- (6) The MIC Program will notify the Office of Medical Service when any MIC Program patient is discharged from the program. It is understood that when the patient is no longer eligible for care under the MIC Program, preferential processing of authorizations for that patient will automatically cease.

It is with great pleasure that we have been able to work out this very liberal policy with the Department of Social Welfare. I'm certain I reflect the sentiments of the dental practitioners when I express to you my personal gratitude for your understanding of our problem with the MIC Program patients and your willingness to assist us. With kindest personal regards, I am

Sincerely,

Joseph A. Yacovone, D.M.D., M.P.H.
Chief, Dental Public Health

JAY:emp

C/C: Dr. John Hogan
Dr. Robert Barone
Dr. Edward Brown
Mrs. Bonnie Houle

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STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

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Department of Social and Rehabilitative Services
OFFICE OF THE DIRECTOR
600 New London Avenue
Cranston, R. I. 02920

December 3, 1976

Joseph E. Cannon, M.D., M.P.H.
Director
Department of Health
Davis Street
Providence, Rhode Island

Dear Dr. Cannon:

This is in response to your request for verification that both the Washington County Health Center and Northwest Community Health Centers participate in the Rhode Island Title XIX Medical Assistance Program.

Both of these facilities receive reimbursement for physicians services provided eligible Title XIX recipients in accordance with the established fee schedule allowances of \$10.00 for an initial visit and \$8.50 for follow-up visits. Both facilities also receive a \$20.00 allowance for Early and Periodic Screening, Diagnosis and Treatment examinations provided eligible recipients of the Rhode Island Medical Assistance Program under 21 years of age. It should be noted that this special allowance of \$20.00 is based upon the completion of a comprehensive examination and the submission of a fully completed extensive report form which has been developed by the Department of Social and Rehabilitative Services.

We are most pleased that these facilities are able to serve eligible recipients of the Rhode Island Medical Assistance Program in general and the EPSDT Program in particular.

With best wishes, I am

Sincerely yours,

John J. Affleck
John J. Affleck
Director

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Revision: HCFA-PM-95-3 (MB)
May 1995

Attachment 4.17-A
Page 1

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: RHODE ISLAND

LIENS AND ADJUSTMENTS OR RECOVERIES

1. The State uses the following process for determining that an institutionalized individual cannot reasonably be expected to be discharged from the medical institution and return home:

Not Applicable.

2. The following criteria are used for establishing that a permanently institutionalized individual's son or daughter provided care as specified under regulations at 42 CFR §433.36(f):

Not Applicable.

3. The State defines the terms below as follows:

- ☐ estate
- ☐ individual's home
- ☐ equity interest in the home
- ☐ residing in the home for at least one or two years on a continuous basis, and
- ☐ lawfully residing.

Not Applicable.

TN No. 98-004

Effective Date 4/1/98

Supersedes

Approval Date _____

TN No. _____

OFFICIAL

Revision: HCFA-PM-95-3 (MB)
May 1995

Attachment 4.17-A
Page 2

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: RHODE ISLAND

LIENS AND ADJUSTMENTS OR RECOVERIES

4. The State defines undue hardship as follows:

An undue hardship may be found to exist and execution of the lien may be postponed if a sale of real property, in the case of an individual's home, would be required to satisfy a claim, if all of the following conditions are met.

- 1) an individual was using the property as a principal place of residence on the date of the recipient's death; and,
- 2) that individual resided in the decedent's home on a continual basis for at least twenty-four (24) months immediately prior to the date of the deceased recipient's death; and,
- 3) that individual has, from the time the Department first presented its claim for recovery against the deceased recipient's estate and after, annual gross income in an amount not to exceed 250 percent of the then applicable federal poverty level (FPL) income standard based on the same family size, and assets not to exceed the then applicable Medically Needy resource standards (see section 0338.05).

In addition to the foregoing criteria, undue hardship will be determined by the Department on a case-by-case basis and will include, but will not be limited to, the following examples, e.g., the individual or self, on whose behalf the heir(s) or beneficiary(ies) is requesting a consideration of undue hardship, would:

- A. be rendered homeless without the resources to find suitable housing; or,
- B. lose his/her means of livelihood; or,
- C. be deprived of food, clothing, shelter, or medical care such that life would be endangered should a finding of undue hardship be denied.

TN No. 98-004
Supersedes
TN No. _____

Approval Date _____

Effective Date 4/1/98

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May 1995

Attachment 4.17-A
Page 3

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: RHODE ISLAND

LIENS AND ADJUSTMENTS OR RECOVERIES

5. The following standards and procedures are used by the State for waiving estate recoveries when recovery would cause an undue hardship, and when recovery is not cost-effective:

If an individual meets the above criteria, the heir(s) or beneficiary(ies) may submit a request to the Department of Human Services, TPL Unit for consideration of undue hardship and the delay of the execution of the Department's lien against the property if it appears that the individual is able to continue to reside in the property.

Requests for consideration of undue hardship will be reviewed by a team of three members therein designated by the Director of the Department of Human Services, of which one member will be from the DHS Office of Legal Services. The review team will render decisions by giving due consideration to the equities involved as well as the obligations of the parties involved.

If the Department finds that an undue hardship exists, the execution of the lien is delayed for as long as:

- the undue hardship grantee is alive and residing in the property; and has income and assets not to exceed the amounts specified in Section 0312.40.
- the undue hardship circumstances upon which the decision is based continue to exist; and,
- as long as the property is adequately maintained and continues to exist in its then current state, (e.g., if the structure is destroyed by fire, the lien will be executed against the real estate if it appears that the home will not be rebuilt).

The circumstances of the hardship will be subject to review by the Department at least every two years provided, however, that the grantee must notify the Department of any material change in circumstances, income and/or assets.

If the owner of the property sells or transfers ownership of the home, the Department of Human Services will execute the lien.

TN No. 98-004

Effective Date 4/1/98

Supersedes

Approval Date _____

TN No. _____

OFFICIAL

Revision: HCFA-PM-95-3 (MB)
May 1995

Attachment 4.17-A
Page 4

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: RHODE ISLAND

LIENS AND ADJUSTMENTS OR RECOVERIES

6. The State defines cost-effective as follows (include methodology/thresholds used to determine cost-effectiveness): If probate assets recorded in the case record exceed \$3,000 at time of last recertification or if they include real estate, then recovery efforts are initiated upon notification of death.
7. The State uses the following collection procedures (include specific elements contained in the advance notice requirement, the method for applying for a waiver, hearing and appeals procedures, and time frames involved):
 - A. The TPL Unit initiates estate recoveries upon receipt of information (from internal or external sources) relative to the death of a Medical Assistance recipient who was at least 55 years of age, and responds to requests from estate representatives to release and/or discharge liens upon payment of reimbursable amounts or upon determination by the TPL Unit that a lien is inapplicable.
 - B. The TPL Unit does not automatically file an encumbrance in the land evidence records. It is DHS' policy not to encumber the chain of title to real estate until the DHS claim is contested by the legal representatives of the estate, or until it appears that the legal representatives of the estate are unresponsive to the TPL Unit's inquiries or claims.
 - C. Usually, the recovery process begins with a letter to the next of kin or legal representatives requesting estate asset information. In most cases, there are no assets left after payment of funeral expenses and other preferred debts (R.I.G.L. 33-12-11), and no recovery is pursued by DHS. If requested, the TPL Unit will issue a discharge of lien. If there are any assets remaining to pay the DHS claim, in whole or in part, the TPL Unit will request reimbursement by letter which provides an accounting of the Medical Assistance expenditures. Upon receipt of payment, the TPL Unit will issue a discharge of lien.

TN No. 98-004

Effective Date 4/1/98

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OFFICIAL

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: RHODE ISLAND

LIENS AND ADJUSTMENTS OR RECOVERIES

- D. If DHS is notified of the pendency of a probate estate either in response to a written notice from the executor/administrator, (see In Re: Estate of Santoro, 572 A. 2d 298, R.I. (1990) and R.I.G.L. 33-11-5.1 for notice to creditor requirements), the TPL Unit will file a formal claim in the estate. Land evidence lien notices are not normally filed at this time (see B. above). Lien notices are filed in the land evidence records if the claim is contested.
- E. In accordance with R.I.G.L. 40-8-15(b), and R.I.G.L. 33-11-5.1, legal representatives and/or the heirs-at-law of the decedent are required to provide to the DHS, TPL Unit, within sixty (60) days of the date of death, written notice identifying the decedent, the assets included in the individual's probate estate, the social security number and date of birth of the decedent, and the names and addresses of all persons interested in, or entitled to take any share of the individual's probate estate.

A requestor shall mail his or her application for an undue hardship consideration in writing to the Department within 45 days after the date the Department has filed its claim with probate court. The application shall include the following information:

1. the relationship of the undue hardship applicant to the decedent and copies of documents establishing that relationship; and,
2. the basis for the application and documentation supporting the undue hardship applicant's position; and,
3. supporting documentation that the requestor has the legal standing and will be allowed to continue to reside in the property indefinitely should the undue hardship request be approved.

The Department may require additional documentation, such as a current title examination, a list of existing creditors, etc. as adequate proof that its decision to defer its lien will not otherwise adversely affect its claim.

The Department shall review each application and issue a written decision within 90 days after the application was received by the Department. The Department shall consider and base its decision on all information received with the application and any independent investigation it may undertake. The decision shall be the final decision of the Department.

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Attachment 4.18-A
Page 1
OMB NO.: 0938-0193

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Attachment 4.18-A
Page 2
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Attachment 4.18-A
Page 3
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Attachment 4.18-C
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Attachment 4.18-C
Page 2
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Attachment 4.18-C
Page 3
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Attachment 4.18-D
Page 1
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Attachment 4.18-D
Page 2
OMB NO.: 0938-

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Attachment 4.18-E
Page 1
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Attachment 4.18-DE
Page 2
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Medicaid Premiums and Cost Sharing

State Name:

OMB Control Number: 0938-1148

Transmittal Number: RI - 19 - 0005

Cost Sharing Requirements	G1
1916 1916A 42 CFR 447.50 through 447.57 (excluding 447.55)	
The state charges cost sharing (deductibles, co-insurance or co-payments) to individuals covered under Medicaid.	<input type="text" value="No"/>

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1148. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

V.20160722

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

STATE: Rhode Island

Payment for inpatient hospital care provided by Rhode Island and out-of-state hospitals under fee-for-service arrangements is as follows:

DRG Base Payment. In general, payment will be by diagnosis related group, using the All Patient Refined Diagnosis Related Group (APR-DRG) algorithm. The DRG Base Payment will equal the DRG Relative Weight specific to APR-DRG times the DRG Base Price times an age adjustor (if applicable as defined in section c below). For inpatient admissions on and after December 1, 2015, the DRG base rate paid to each hospital for inpatient services, as calculated pursuant to this payment methodology, will be reduced by 2.5%.

Effective July 1, 2016 the DRG base price will be increased by 3%, resulting in a base price of \$11,093.

Effective July 1, 2017, and for each state fiscal year thereafter, the DRG base price will be increased by the CMS Hospital Prospective Reimbursement Market Basket for the applicable period, as reported in the quarterly Healthcare Cost Review published by the IHS Markit.

For the period of July 1, 2019 through June 30, 2020 the DRG base rate will be increased by 7.2%. Effective July 1, 2020 the DRG base rate will be increased by the CMS national Prospective Payment System (IPPS) Hospital Input Price Index.

- a. APR-DRG algorithm. Effective July 1, 2016, the Executive Office of Health and Human Services (EOHHS) is using the most current version of the APR-DRG algorithm. It is EOHHS's intention to update the version each year so that it uses the current version available as of the effective date of the rates.
- b. DRG Relative Weights. Effective July 1, 2016, EOHHS is using the most current version of the national APR-Relative Weights as published by 3M Health Information Systems. For certain services where Medicaid represents an important share of the Rhode Island market, policy adjustors will be used to increase the Relative Weights in order to encourage access to care. These services (defined by APR-DRG) and policy adjustors are: neonatal intensive care, 1.25; normal newborns, 1.15; obstetrics, 1.15; mental health, 1.45; and rehabilitation, 1.45. Policy adjustors are intended to be budget-neutral; because payment for services with policy adjustors is higher than it otherwise would have been, payment for other services is lower than it otherwise would have been. Budget neutrality is achieved through the level of the DRG Base Price.
- c. Age adjustor. To facilitate access to mental health care for children, calculation of the DRG Base Payment will include an "age adjustor" to increase payment for these stays. Effective May 5, 2015, the value of the pediatric mental health age adjustor will be 2.50. This value was calculated so that, overall, payment for

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

STATE: Rhode Island

pediatric mental health stays would exceed the hospitals' estimated costs of providing this care.

- d. DRG Payment. The DRG Payment equals the DRG Base Payment plus the DRG Cost Outlier Payment plus the DRG Day Outlier Payment.
- e. Outlier payments. "Outlier" payments will be payable for medically necessary inpatient hospital services involving exceptionally high costs or exceptionally long lengths of stay. All mental health stays will be eligible for day outlier payments and all physical health (i.e., non-mental health) stays will be eligible for cost outlier payments. This paragraph is intended to meet the requirements of the Social Security Act §1902(s) (1) and to extend outlier protections to all other stays.
- f. Day Outlier Payment. Day outlier payments will be made at a per diem rate for all days in a mental health stay after a day outlier threshold. Effective May 5, 2015, the Day Outlier Payment Rate is \$850 for every day that exceeds the day outlier threshold of 20 days. Day Outlier Payments are made only for days for which the hospital has received prior authorization.
- g. Cost Outlier Payment. Cost outlier payments will be made to stays that qualify as a cost outlier stay, which will be determined by comparing the hospital's estimated loss on a particular stay with the cost outlier threshold amount. If a stay qualifies as a cost outlier then the cost outlier payment will equal the statewide marginal cost percentage times the estimated loss. The estimated loss will be calculated as the hospital's covered charges for a particular stay times the most recent applicable hospital-specific ratio of cost to charges as calculated by EOHHS from Medicare cost reports. (For hospitals outside Rhode Island, proxy ratios of cost to charges will be used.) Effective May 5, 2015, the cost outlier threshold amount is \$27,000 and the statewide marginal cost percentage is 60%.
- h. Transfer adjustments. When a patient is discharged to another acute care hospital or leaves the hospital against medical advice, a transfer adjustment payment will be calculated. This adjustment applies to discharge statuses 02, 05 and 07. The transfer adjustment will involve calculation of a per diem amount equal to the DRG Base Payment divided by the nationwide average length of stay for the particular APR-DRG. The per diem amount will be multiplied by the actual length of stay plus one day, to reflect the additional costs associated with hospital admission. If the transfer adjustment payment is lower than the payment otherwise calculated, then the hospital will be paid the transfer adjustment payment.
- i. Incomplete eligibility. When a patient has Medicaid eligibility for only part of an inpatient stay, payment will be prorated to reflect the incomplete eligibility. A per diem amount will be calculated as described in paragraph k above and will be multiplied by the actual length of stay. If the prorated payment is lower than the payment otherwise calculated, then the hospital will be paid the prorated payment.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

STATE: Rhode Island

- j. Allowed amount. The allowed amount will equal the DRG Payment, with adjustments for transfers or incomplete eligibility as appropriate, plus the Add-on Amount.
- k. Add-on Amount. The Add-on Amount is a mechanism to make payments for services that are unrelated to the DRG calculation. Effective May 5, 2015, the Add-on Amount is zero.
- l. Interim payments. If the length of stay exceeds 29 days then the hospital can choose to submit an interim claim and receive an interim payment. Effective May 5, 2015, the interim payment amount is \$850 per day. This provision is intended to provide cash flow and ensure access for patients needing exceptionally long lengths of acute care. Once a patient has been discharged, interim payments will be recouped and final payment calculated as described above.
- m. Prior authorization. In general, all admissions require prior authorization. The only exceptions are deliveries and normal newborns (i.e., newborns not admitted to neonatal intensive care). In general, prior authorization of the length of stay is not required. The only exception is when payment for a mental health stay is by DRG and the length of stay exceeds the day outlier threshold. Authorization for days over the threshold is required if the stay is to be eligible for Day Outlier Payment.
- n. Children with dual diagnoses of mental health and intellectual disability requiring acute care for periods of weeks or months. Subject to prior authorization, these stays will be outside the scope of the DRG payment method and will be paid on a per diem basis. The per diem rate will be based on the cost of care as estimated from Medicare cost reports.
- o. Medicare crossover claims. These stays, where Medicaid acts as a secondary payer behind Medicare, are outside the scope of the DRG payment method. Medicaid payment is calculated as the Medicare coinsurance and deductible times the hospital-specific ratio of cost to charges as calculated by EOHHS from the Medicare cost report.
- p. Annual review. EOHHS will review the DRG payment method at least annually, making updates as appropriate through the rule-making process. The scope of the annual review will include at least the DRG algorithm version, the DRG Relative Weights, the DRG Base Price(s), the outlier thresholds, outlier payment parameters, policy adjustors and the age adjustors. With respect to the DRG Base Price, EOHHS will take into consideration at least the following factors in deciding what change, if any, to implement: changes or levels of beneficiary access to quality care; the Center for Medicare and Medicaid Services National CMS Prospective Payment System (IPPS) Hospital Input Price Index; technical corrections to offset changes in DRG Relative Weights or policy adjustors;

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

STATE: Rhode Island

changes in how hospitals provide diagnosis and procedure codes on claims; and budget allocations.

- q. Posted information. Hospitals, beneficiaries and other interested parties can find current versions of a DRG Calculator (including the DRG Base Payment rate for each APR-DRG) on the Executive Office of Health and Human Services website, updated as of July 1, 2019:
<http://www.eohhs.ri.gov/ProvidersPartners/GeneralInformation/ProviderDirectories/Hospitals.aspx>

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT
State/Territory: Rhode Island

Citation

42 CFR 447, 434, 438, and 1902(a)(4), 1902(a)(6), and 1903

Payment Adjustment for Provider Preventable Conditions

The Medicaid agency meets the requirements of 42 CFR Part 447, Subpart A, and sections 1902(a)(4), 1902(a)(6), and 1903 with respect to non-payment for provider-preventable conditions.

Health Care-Acquired Conditions

The State identifies the following Health Care-Acquired Conditions for non-payment under Section 4.19 (A) of this State plan.

 X Hospital-Acquired Conditions as identified by Medicare other than Deep Vein Thrombosis (DVT)/Pulmonary Embolism (PE) following total knee replacement or hip replacement surgery in pediatric and obstetric patients.

Other Provider-Preventable Conditions

The State identifies the following Other Provider-Preventable Conditions for non-payment under Section 4.19 (A) of this State plan.

 X Wrong surgical or other invasive procedure performed on a patient; surgical or other invasive procedure performed on the wrong body part; surgical or other invasive procedure performed on the wrong patient.

Non-Payment for Hospital Acquired Conditions:

In accordance with Title XIX of the Social Security Act — Sections 1902, 1903 and 42 CFRs 434, 438, and 447, Medicaid will make no payment to providers for services related to Provider Preventable Conditions (PPC) which includes Health Care-Acquired Condition (HCAC).

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TN No. NEW

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Effective Date 1/11/2013

CMS ID: 7982E

For all Medicaid patients, requests for Diagnosis Related Groups (DRGs) attributable to Present on Admission (POA) conditions will be reimbursed for allowable charges.

Provider Preventable conditions (PPC), which includes Health Care-Acquired Condition (HCAC), with diagnosis codes with Y or W, or as defined by CMS, will be considered in the DRG calculation. Conversely, any diagnoses codes with N or U, or as defined by CMS, will not be considered in the DRG calculation. Providers must identify and report PPC occurrences.

For hospitals reimbursed under a per diem methodology, to the extent that the cost of the hospital acquired condition can be isolated, payment for the cost of the hospital acquired condition will be denied.

Non-Payment for Other Provider Preventable Conditions

- E876.5 — Performance of wrong operation (procedure) on correct patient
- E876.6 — Performance of operation (procedure) on patient not scheduled for surgery
- E876.7 — Performance of correct operation (procedure) on wrong side/body part

The provider may file a separate claim for the same Medicaid recipient with the same dates of service to include the allowable charges for reimbursement. Providers must identify and report other provider preventable conditions.

No reduction in payment for a provider preventable condition will be imposed on a provider when the condition defined as a PPC for a particular patient existed prior to the initiation of treatment for that patient by that provider.

Reductions in provider payment may be limited to the extent that the following apply: (i) The identified provider preventable conditions would otherwise result in an increase in payment; (ii) The state can reasonably isolate for nonpayment the portion of the payment directly related to treatment for, and related to, the provider preventable condition.

Prohibition on payments for PPC, and HCAC, shall not result in a loss of access to care or services for Medicaid beneficiaries. This policy applies to all Medicaid reimbursement provisions, contained in 4.19A, including Medicaid proportionate share hospital payments. In the event that individual cases are identified throughout the PPC implementation period, July 1, 2012 through January 10, 2013, the State will adjust reimbursements according to the methodology above.

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STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACTState: Rhode Island

Disproportionate Share Hospital Policy

Disproportionate Share Hospitals

I. Criteria

For purposes of complying with Section 1923 of the Social Security Act, the Executive Office of Health and Human Services, the designated Single State Agency for the Title XIX Medical Assistance Program, will determine which hospitals can be deemed eligible for a disproportionate share payment adjustment.

1. Rhode Island defines disproportionate share hospitals as those licensed hospitals within the State providing inpatient and outpatient services meeting the following criteria:
 - A. A Medical Assistance inpatient utilization rate at least one (1) standard deviation above the mean medical assistance Inpatient utilization rate for hospitals receiving medical-assistance payments in the State; or
 - B. A low-income inpatient utilization rate exceeding twenty five (25) percent; or
 - C. A Medical Assistance inpatient utilization rate of not less than one (1) percent, and
 - D. The hospital has at least two (2) obstetricians with staff privileges at the hospital who have agreed to provide obstetric services to individuals entitled to such services under the Rhode Island Medical Assistance Program. This requirement does not apply to a hospital where: a) the inpatients are predominantly individuals under eighteen (18) years of age; or b) did not offer non-emergency obstetric services as of 12/22/87.

II. Definitions

1. Medical Assistance inpatient utilization rate means, for a hospital, a fraction (expressed as a percentage), the numerator of which is the hospital's number of inpatient days attributable to patients who (for such days) were eligible for Rhode Island Medical Assistance Program in a period (regardless of whether the services were furnished on a fee-for-service basis or through a managed-care entity), and the denominator of which is the total number of the hospital's inpatient days in that period.
2. Low Income utilization rate means, for a hospital, the sum of
 - A. A fraction (expressed as a percentage), the numerator of which is the sum (for the hospital's fiscal year designated in Section 111,1,F) of the total medical

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACTState: Rhode Island

Disproportionate Share Hospital Policy

assistance revenues paid to the hospital for patient services (regardless of whether the services were furnished on a fee-for-service basis or through a managed-care entity), and the amount of the cash subsidies for patient services received directly from State and local governments, the denominator of which is the total amount of revenues of the hospital for patient services (including the amount of such cash subsidies) in that period; and

- B. A fraction (expressed as a percentage), the numerator of which is the total amount of the hospital's charge for inpatient hospital services which are attributable to charity care in the hospital's fiscal year designated in Section 111,1,F less the portion of any cash subsidies described in subparagraph (A) in that period reasonably attributable to inpatient hospital services, and the denominator of which is the total amount of revenues of the hospital's charges for inpatient hospital services in the hospital in that period. The numerator under subparagraph (6) shall not include contractual allowances and discounts (other than for indigent patients not eligible for medical assistance).

III. Payment Adjustment

1. For Federal fiscal year 2017 and for Federal fiscal years thereafter, the State shall make payment to each qualifying facility in accordance with the following formula:

- A. Pool D: For non-government and non-psychiatric hospitals licensed within the State of Rhode Island, whose Medical Assistance inpatient utilization rate exceed 1.0%, there shall be a payment not to exceed the total computable DSH allotment as reported on Form CMS-64.9D Column G, Line 1 to compensate hospitals for uncompensated care (as defined below) distributed among the qualifying hospitals in direct proportion to the individual qualifying hospital's uncompensated care to the total uncompensated care costs for all qualifying hospitals. To the extent that audit findings demonstrate that DSH payments exceeded the documented hospital-specific limit, the excess DSH payments are distributed by the State to other qualifying hospitals in direct proportion to the individual qualifying hospital's uncompensated care to the total uncompensated care costs for all qualifying hospitals as an integral part of the audit process.

- B. Uncompensated care is defined as stated in Section 1923 of the Social Security Act and issued by CMS in the Medicaid DSH reporting and auditing final regulation on December 19, 2008 (Federal Register/Vol. 73, No. 245).

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACTState: Rhode Island

Disproportionate Share Hospital Policy

The utilization rates, costs, and uncompensated care for the most recently completed hospital fiscal year for which data is available (hospital fiscal year 2014 will be utilized to determine each hospital's payment). 2014 uncompensated care costs shall be indexed by the uncompensated care index as defined in Rhode Island General Law 40-8.3-2(5) for each subsequent year to calculate the costs for the year in which payments are made. The total payment to a qualifying facility will not exceed the facility-specific caps described in Section 1923(g).

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State: Rhode Island

Disproportionate Share Hospital Policy

The state has in place a public process, which complies with the requirements of Section 1902(a)(13)(A) of the Social Security Act.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

STATE: Rhode Island

INPATIENT HOSPITAL SUPPLEMENTARY PAYMENT

Supplemental payments made to hospitals for In-Patient Services under the Medicaid State Plan are eliminated, effective July 1, 2019.

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